	Application Number		10766551
INFORMATION BIOOL COURT	Filing Date		2004-01-28
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Greg	ory Jensen
(Not for submission under 37 CFR 1.99)	Art Unit		3621
(,	Examiner Name	Pierre	e E. Elisca
	Attorney Docket Number	er	SAFL-24

				U.S.I	PATENTS	Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5018096		1991-05-21	Aoyama	
	2	5276444		1994-01-04	McNair	
	3	5510777		1996-04-23	Pilc et al.	
	4	5657389		1997-08-12	Houvener	
	5	6748541	B1	2004-06-08	Margalit et al.	
	6	6763399	B2	2004-07-13	Margalit et al.	
	7	6928547	B2	2005-08-09	Brown et al.	
	8	6751734	B1	2004-06-15	Uchida	
If you wish	n to ac	ld additional U.S. Paten	t citatio	n information pl	ease click the Add button.	Add

Application Number		10766551
Filing Date		2004-01-28
First Named Inventor	Grego	ory Jensen
Art Unit		3621
Examiner Name	Pierre	e E. Elisca
Attorney Docket Numb	er	SAFL-24

			U.S.P	ATENT	APPLIC	CATION PUBI	LICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ition	Name of Pate of cited Docu	entee or Applicant Iment	Relev	s,Columns,Lines where vant Passages or Relev es Appear	e vant
	1	20020104006	A1	2002-08	8-01	BOATE ET AL				
	2	20020109578	A1	2002-08	8-15	HANSEN				
	3	20030163710	A1	2003-08	3-28	ORTIZ ET AL				
	4	20040230809	A1	2004-1	1-18	LOWENSOHN	N ET AL.			
	5	20040015243	A1	2004-01	1-22	MERCREDI E	T AL.			
	6	20040015702	A1	2004-01	1-22	MERCREDI E	T AL.			
	7	20040059590	A1	2004-03	3-25	MERCREDI E	T AL.			
If you wis	n to ac	dd additional U.S. Publi	shed Ap			n information p		d butto	n. Add Remove	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	/	Kind Code ⁴	Publication	Name of Patente Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	DE3412663	DE		A1	1985-10-17	Albers et al.			✓

Application Number		10766551
Filing Date		2004-01-28
First Named Inventor	Greg	ory Jensen
Art Unit		3621
Examiner Name	Pierre	e E. Elisca
Attorney Docket Number		SAFL-24

	2	EP0924655	EP	A2	1998-06-23	Evans et al.				
If you wis	h to ac	dd additional Foreign Pa	atent Document	citation	information pl	ease click the Add buttor	Add	1		
			NON-PATEN	NT LITE	RATURE DO	CUMENTS	Remove			
Examiner Initials*	Cite No		nal, serial, symp	osium,	catalog, etc), c	the article (when appropr late, pages(s), volume-is		T5		
	1	MAYFIELD, CHARLES,	MAYFIELD, CHARLES, "Who Goes There?", Security Management, (03/01/1989), pp. 1-5.							
	2		MATYAS, STEPHEN M., "Common Cryptographic Architecture Cryptographic Application Programming Interface", IBM Systems Journal, (06/01/1991), pp. 1-26.							
	3	LYNCH, PAUL, "Beyond Software Ltd., Elsevier S				ontrols", Computers & Secu (1991), pp. 711-722.	rity, Vol. 8, No. 10, C&K			
	4	OLDHOEFT, ARTHUR I 1-10.	E., "A Survey of Pa	assword	Mechanisms", 、	Journal of Electronic Defens	se, (01/01/1992), pp.			
	5	CAMILLONE, N. ET AL., "Extensible User Authentication in a Computer Operating System", IBM Technical Disclosure Bulletin, Vol. 34, No. 9, (02/1992), pp. 480-482.								
	6	WEISS, KENNETH, "Two-factor Authentication Method Offers Much-needed Access Control", Computer Dealer News, (06/28/1993), pp. 1-3.								
	7	WOBBER, EDWARD ET (12/10/1993), pp. i-viii ar		tion in th	e Taos Operatir	ng System", Digital Researc	h Center, Palo Alto, CA,			
	8	"Guideline for the Use o Standards Publication, F				ernatives", Federal Informa	tion Processing			

Application Number		10766551
Filing Date		2004-01-28
First Named Inventor	Greg	ory Jensen
Art Unit		3621
Examiner Name	Pierre	E. Elisca
Attorney Docket Number		SAFL-24

9	JOHNSON, IAN, "Computer Theft Creates Market for Resellers", Computer Dealer News, (12/14/1994), pp. 1-4.	
10	ESMAILI, M. ET AL., "Authentication Techniques", The Center for Computer Security Research, University of Wollongong, Australian Privacy Commission (1994), pp. 1-15.	
11	"The Voice: Speech Recognition, Voice Message Integration", Searcher, (01/01/1995), pp. 1-2.	
12	KUHNHAUSER, WINFRIED, "A Framework to Support Multiple Security Policies", Proceedings of the 7th IEEE Canadian Security Symposium, Ottawa, Canada,, (05/1995), pp. 1-19.	
13	YESBERG, JOHN D. ET AL., "QuARC: Expressive Security Mechanisms", Information Technology Division, Defence Science and Technology Organisation, Salisbury, South Australia, (1995), pp. 34-40.	
14	BLAKLEY, B., Security Requirements for DCE, Open Software Foundation Request for Comments: 8.1, (10/1995), pp. 1-55.	
15	SAMAR, VIPIN ET AL., Making Login Services Independent of Authentication Technologies, SunSoft, Inc. (1996) pp. 1-10.	
16	"Enterprise-wide Security: Authentication and Single Sign-On, NAC Position Paper, NAC, (07/14/1996), pp. 1-43.	
17	GALVIN, PETER, "The SeOS Security Blanket", Sun World, (07/1996), pp. 1-9.	
18	KOBIELUS, JAMES, "Buyer's Guide, Gotcha!", Network World, (09/09/1996), pp. 1-6.	
19	ZURKO, MARY ELLEN ET AL. "User-Centered Security", Proceedings of the UCLA Conference on New Security Paradigms Workshops, Lake Arrowhead, CA (September 17-20, 1996), pp. 1-9.	

Application Number		10766551
Filing Date		2004-01-28
First Named Inventor	Greg	ory Jensen
Art Unit		3621
Examiner Name Pierre		e E. Elisca
Attorney Docket Number		SAFL-24

20	"Key Tronic Corp. Demonstrates Affordable Finger-Image Technology For Secure Identification", Business Wire, (11/18/1996), pp. 1-2.	
21	YAMADA, KEN, "ID At Touch of a Finger", Computer Reseller News, (12/02/1996) pp. 1-3.	
22	WILSON, TIM ET AL. "Security At Your Fingertips", Communications Week, CMP Media Inc., (12/02/1996), p. 1.	
23	"VASCO Integrates internet AccessKey with Netscape Security Architecture; Provides Unprecedented Security to Netscape Server Users", Business Wire, (12/10/1996) p. 1-3.	
24	CHUANG, SHAW-CHENG ET AL. "A Credibility-based Model of Computer System Security", ACM New Security Paradigm Workshop, Lake Arrowhead, CA (1996) pp. 53-58.	
25	SCHELL, DR. ROGER, "The Internet Rules but the Emperor Has No Clothes", 12th Annual Computer Security Applications Conference, ACSAC '96, (1996), pp. xiv-xix.	
26	"Fingerprinting To Hit 100,000 Desktops Through Deal Next Week", Newsbytes News Network, (01/09/1997), pp. 1-2.	
27	"Authentication: From Passwords to Retina", Network World, Published by Winn Schwartau, (01/27/1997) pp. 1-2	
28	O'SULLIVAN, ORLA, Biometrics Comes to Life, ABA (01/1997), pp. 1-6	
29	"Advanced Fingerprint Verification Security Added to Oracle Universal Server; Identix's Biometric Authentication Technology Provides Heightened Database Security", Business Wire, (02/10/1997) pp. 1-3.	
30	"To Catch A Thief", PC Magazine, (02/25/1997) pp. 1-3.	

Application Number		10766551
Filing Date		2004-01-28
First Named Inventor	Greg	ory Jensen
Art Unit		3621
Examiner Name	Pierre	e E. Elisca
Attorney Docket Number		SAFL-24

31	WILLEMS, MAARTEN, Layered Biometric Authentication A Keyware Technologies White Paper, Keyware Technologies, (09/04/1997), pp. 1-11.	
32	TRIVERIO, JENNIFER, "Forgot a Password? It's Written All Over Your Face", HomePC, CMP Media, 903/10/1997) p.1.	
33	LEOPOLD, GEORGE, "Digital Identification: It's Now At Our Fingertips", Electronic Engineering Times, (03/24/1997) pp. 1-4.	
34	MESSMER, ELLEN, "Gradient Launches Secure Single Logon", Network World, Network World Inc. (3/31/1997), pp. 1-3.	
35	CASATELLI, CHRIS, Netscape Plug-in Uses Fingerprints For Access, PropheZine #40, (05/05/1997), pp. 1-2.	
36	ELLEDGE, DON, "Keep Out Prying Eyes", Information Week, CMMP Media Inc., (05/05/1997), pp. 1-9.	
37	"HP Extends Security and User Authentication to Intranets with HP Praesidium/Security Service 1.5", Business Wire, (05/27/1997) pp. 1-3.	
38	CLARK, TIM, "Your Fingerprint Is Your Password", CNET News.com, (06/09/1997) pp. 1-3.	
39	BRODERICK, JOHN, ASSOCIATE EDITOR ET AL., "Who Knows Who You Are?", InfoWorld, (06/16/1997) pp. 1-27.	
40	TALLEY, BROOKS, "Test Center Comparison: Network Authentication", InfoWorld, (06/16/1997) pp. 1-35.	
41	AVENTAIL CORPORATION, Managing Corporate Access to the Internet An Aventail Corporation White Paper, (06/20/1997) pp. 1-10.	

Application Number		10766551
Filing Date		2004-01-28
First Named Inventor	Gregory Jensen	
Art Unit		3621
Examiner Name	Pierre E. Elisca	
Attorney Docket Number		SAFL-24

	42	SMEAD, FRANK, "Know the Difference Between Recognition and Verification", Speech Technology Magazine, (June/July, 1997).					
	43	"New Speaker Verification API is Announced", Speech Technology Magazine, Reference not provided (June/July 1997).					
	44	"Forget Your Password", PC Magazine Online, (07/25/1997), pp. 1-3.					
	45	SEAMONS, KENT E. ET AL., "Internet Credential Acceptance Policies", Proceedings of the 2nd International Workation of Logic Programming Tools for Internet Applications, (07/1997), pp. 1-15.					
	46	VIOLINO, BOB, "Body Language (Biometrics used for Security Purposes)", Information Week, CMP Media Inc., (08/18/1997), pp. 1-7					
	47	GREINER, LYNN, "BioMouse 'Clever Little Gadget' That Works", Computing Canada, (10/14/1997) pp. 1-3.					
	48	"Learnout & Hauspie, Developers Show New Offerings at Technology Day", Speech Technology Magazine, Reference not provided (Oct/Nov, 1997).					
	49	BECK, STEVE, A Framework for Information Security in the Enterprise, Entegrity Solutions Corporation (1997), pp. cover, i-ii, and 1-16.					
	Defender Secure Authentication Solution Brochure, AXENT Technologies, Inc. (1997) pp. 1-5.						
If you wish to add additional non-patent literature document citation information please click the Add button Add							
EXAMINER SIGNATURE							
Examiner Signature Date Considered							
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Application Number		10766551
Filing Date		2004-01-28
First Named Inventor	Gregory Jensen	
Art Unit		3621
Examiner Name	Pierre E. Elisca	
Attorney Docket Number		SAFL-24

¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

(Not for submission under 37 CFR 1.99)

Application Number		10766551
Filing Date		2004-01-28
First Named Inventor	Gregory Jensen	
Art Unit		3621
Examiner Name	Pierre E. Elisca	
Attorney Docket Number		SAFL-24

CERTIFICATION STATEMENT							
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR	:						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached certification statement.						
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.						
✓							
		SIGNA	TURE				
A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Signature		/Douglas A. Scholer/	Date (YYYY-MM-DD)	2006-09-01			
Name/Print		Douglas A. Scholer	Registration Number	52197			
pub 1.14	lic which is to file o	mation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application s estimated to take 1 hour to complete, inclo e USPTO. Time will vary depending upon the	on. Confidentiality is gover uding gathering, preparing	rned by 35 U.S.C. 122 and 37 CFR and submitting the completed			

require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.